

OCT 09 1997

#### Refer to Legislative Secretary

The Honorable Antonio R. Unpingco Speaker Twenty-Fourth Guam Legislature Guam Legislature Temporary Building 155 Hesler Street Agana, Guam 96910

Dear Speaker Unpingco:

Enclosed please find a copy of Bill No. 414 (LS), "AN ACT TO AMEND CHAPTER 41 OF TITLE 17 OF THE GUAM CODE ANNOTATED, RELATIVE TO ESTABLISHING THE DIVISION OF HEALTH PLANNING AND DEVELOPMENT AND THE DIVISION OF EVALUATION, ENFORCEMENT AND COMPLIANCE WITHIN THE DEPARTMENT OF INTEGRATED SERVICES FOR INDIVIDUALS WITH DISABILITIES ('DISID')", which I have signed into law as Public Law No. 24-92.

Contemporaneously with the enactment of Public Law No. 24-16, creating the new Department of Integrated Services for Individuals with Disabilities ("DISID"), new federal regulations changed the descriptions of approved agency structures for government agencies receiving federal funding for vocational rehabilitation services.

This bill contains a structure which has been approved by the federal officials having oversight over the disbursement of federal funds for vocational rehabilitation, and thus, Guam's state plan will then be in compliance and federal funding will continue to be available for those persons on Guam who need vocational rehabilitation services. I am very pleased that the Guam Legislature, especially the Chairman of the Committee on Health and Human Services, took action on protecting the availability of services for persons with disabilities.

OFFICE OF THE LEGISLATIVE SECRETARY Very truly yours, ACHOOMLECOMENT RECEIPT Carl T. C. Gutierrez Governor of Guam

Attachment

The Honorable Joanne M. S. Brown Legislative Secretary

Ricardo J. Bordallo Governor's Complex • Post Office Box 2950, Agana, Guam 96932 • (671)472-8931 • Fax (671)477-GUAM

Office of the Speaker

Time.

Her'd by:

## TWENTY-FOURTH GUAM LEGISLATURE 1997 (FIRST) Regular Session

#### CERTIFICATION OF PASSAGE OF AN ACT TO THE GOVERNOR

This is to certify that Bill No. 414 (LS), "AN ACT TO AMEND CHAPTER 41 OF TITLE 17 OF THE GUAM CODE ANNOTATED, RELATIVE TO ESTABLISHING THE DIVISION OF HEALTH PLANNING AND DEVELOPMENT AND THE DIVISION OF EVALUATION, ENFORCEMENT AND COMPLIANCE WITHIN THE DEPARTMENT OF INTEGRATED SERVICES FOR INDIVIDUALS WITH DISABILITIES ("DISID")," was on the 9<sup>TH</sup> day of October, 1997, duly and regularly passed.

the  $9^{TH}$  day of October, 1997, duly and regularly passed. ONIO R. UNPINGCO Speaker Attested: **JOANNE M.S. BROWN** Senator and Legislative Secretary This Act-was received by the Governor this 94 day of October, 1997, at <u>//://5</u> o'clock <u>9</u>.M. Governor's Office APPROVED: CARL T. C. GUTIERREZ Governor of Guam 

# TWENTY-FOURTH GUAM LEGISLATURE 1997 (FIRST) Regular Session

Bill No. 414 (LS)
As amended by the Author and on the Floor.

Introduced by:

E. J. Cruz J. C. Salas T.C. Ada F. B. Aguon, Jr. E. Barrett-Anderson A. C. Blaz J. M.S. Brown Felix P. Camacho Francisco P. Camacho M. C. Charfauros W. B.S.M. Flores Mark Forbes L. F. Kasperbauer A. C. Lamorena, V C. A. Leon Guerrero L. Leon Guerrero V. C. Pangelinan A. L.G. Santos F. E. Santos A. R. Unpingco J. Won Pat-Borja

AN ACT TO AMEND CHAPTER 41 OF TITLE 17 OF THE GUAM CODE ANNOTATED, RELATIVE TO ESTABLISHING THE DIVISION OF HEALTH PLANNING AND DEVELOPMENT AND THE DIVISION OF EVALUATION, ENFORCEMENT

# AND COMPLIANCE WITHIN THE DEPARTMENT OF INTEGRATED SERVICES FOR INDIVIDUALS WITH DISABILITIES ("DISID").

### BE IT ENACTED BY THE PEOPLE OF GUAM:

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Section 1. Legislative Statement. Public Law No. 24-16 created a new 3 Department of Integrated Services for Individuals with Disabilities ("DISID"), to take effect on October 1, 1997. Public Law Number 24-16 went into effect 4 on March 24, 1997, however, also in March, 1997, new Code of Federal 5 6 regulations ("CFR") provisions took effect requiring that the state plan for the delivery of vocational rehabilitation services provide that the designated state 7 agency be one (1) of three (3) specified types of agencies. Because of this 8 9 change in Federal regulations, the new DISID now does not qualify as a designated agency, because the categories of approved agency structure are 10 changed. The reason that the new DISID is not now in compliance is that the 11 structure of the new agency contains only two (2) divisions, instead of more 12 13 than two (2) divisions. The CFR's require a state agency that includes a vocational rehabilitation unit to have at least two (2) other major 14 organizational units, each of which administers one (1) or more of the state's 15 major program of public education, public health, public welfare, or labor. 16 DISID only has one (1) other major organizational unit besides the unit that 17 administers the vocational rehabilitation programs. (34 CFR §361.13) 18 Noting the change in the CFR's, our local Department of Vocational 19 20 Rehabilitation attempted to get a waiver of this new requirement, at least temporarily, in order to make amendments to the local compliance with 21 22 Federal grant requirements. On September 24, 1997, the Guam State Plan was disapproved, based on the requirements of the CFR. 23

| Guam has received notice that since the State Plan does no conform t       |
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| the new regulations, that all federal funding coming to Guam for vocations |
| rehabilitation will cease October 1, 1997. This does not allow for time to |
| examine all of the ramifications of making changes in the new DISII        |
| department before the cessation of Federal funding in October 1, 1997.     |

Section 2. A new Article 4 is hereby added to Chapter 41, as added by Public Law No. 24-16, of Title 17 of the Guam Code Annotated to read as follows:

"ARTICLE 4.

#### DIVISION OF HEALTH PLANNING AND DEVELOPMENT.

Section 41401. Definitions. (a) Division shall mean the Division of Health Planning and Development of the Department of Integrated Services for Individuals with Disabilities.

- (b) Agency shall mean the division as defined in (a).
- (c) GHC Council or Council for this article shall mean the Guam Health Coordinating Council.
- (d) Administrator means the Administrator of the Division of Health Planning and Development.

The Administrator must have at least a bachelors degree and at least four (4) years of community experience in community services dealing with persons with disabilities, of which two (2) years shall be administrative and two (2) years of planning experience. The position shall be of the classified service of the government of Guam. The position Classification Standard and Compensation shall be established by the Civil Service Commission.

| Section 41402. Division. Th             | nere is a division within the 'The     |
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| Department of Integrated Services       | with Individuals with Disabilities'    |
| ('DISID'), entitled 'Division of Healt  | h Planning and Development' and        |
| shall be responsible for the collection | n of data, analysis, identification of |
| resources, identification of funds, and | l any other required tasks from the    |
| Director of DISID for the continuou     | is effort to improve the quality of    |
| services to Individuals with Disabilit  | ies and shall also do the following    |
| division functions:                     |  |
| (a) Coordinate and conduct              | the health planning activities of      |

- (a) Coordinate and conduct the health planning activities of Guam to develop and implement the Guam Health Plan and the assembling and analysis of data concerning the following an any other data which the Division might be required to assemble:
  - (1) the status (and its determinants) of the health of the residents of Guam:
  - (2) the status of the health care delivery system in Guam and the use of that system by the residents of Guam;
  - (3) the effect the Guam's health care delivery system has on the health of the residents of Guam:
  - (4) the number, type and location of the Guam's health resources, including health services, manpower and facilities;
  - (5) the patterns of utilization of Guam's health resources; and
  - (6) the environmental and occupational exposure factors affecting immediate and long-term health conditions.

For the purpose of improving the health of the residents of Guam, increasing the accessibility (including overcoming geographic, architectural and transportation barriers) acceptability, continuity and quality of the services provided them, restraining increases in the cost of providing them health services, preserving and improving competition in the health service area and preventing unnecessary duplication of health resources, the Division shall have as its primary responsibility the provision of effecting health planning for Guam and the promotion of the development within Guam of health services, manpower and facilities which meet identified needs, reduce documented inefficiencies and implement the Guam Health Plan.

- (b) Serve as staff to and provide technical assistance and substantive advice to the Guam Health Coordinating Council in the preparation and establishment of the Guam Health Plan and its review and revision as necessary (but at least triennially).
  - (c) Administer the Guam Certificate of Need Program:
  - (1) make findings as to the need for incurrence of obligations for capital expenditure, offering of new institutional health services, or acquisition of major equipment within Guam;
    - (2) promulgate rules and regulations therefor; and
  - (3) only the Director of DISID with the recommendation of the Guam Health Planning and Development Division Administrator (or the appropriate administrative or judicial review body), may issue, deny or withdraw certificates of need,

grant exemptions from certificate of need reviews, or determine that certificate of need reviews are not required.

(d) Review all institutional health services being offered in Guam respecting the appropriateness of such services and make public its findings and shall be updated not less than every five (5) years thereafter.

- (e) The Division shall establish, annually review and amend as necessary an Annual Implementation Plan (hereinafter referred to as the 'AIP') which describes objectives which will achieve the goals of the Guam Health Plan and priorities among the objectives. In establishing the AIP, the division shall give priority to those objectives which will maximally improve the health of the residents of Guam, as determined on the basis of the relation of the cost of attaining such objectives to their benefits, and which are fitted to the special needs of Guam. The Division shall develop and publish specific plans and projects for achieving the objectives established in the AIP.
- (f) The Division with the concurrence of the Director of DISID shall implement the Guam Health Plan and AIP, and in implementing the plans it shall perform at least the following functions:
  - (1) The Division shall seek to implement the Guam Health Plan and AIP with the assistance of individuals, public and private entities;
  - (2) The Division may provide, in accordance with the priorities established in the AIP, technical assistance to individuals and public and private entities for the development of projects and

programs which the Division determines are necessary to achieve the health systems described in the Guam Health Plan;

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The Division shall, in accordance with the priorities established in the AIP, make grants to public and non-profit private entities; and enter into contracts with individuals and public and private entities to assist them in planning and developing projects and programs which the Division determines are necessary for the achievement of the health systems described in the Guam Health Plan. Such grants and contracts shall be made from the Area Health Services Development Fund of the division established with funds provided through grants as it may be from time to time amended or any future provision taking its place under federal regulations and local criterias. No grants or contract under this Subsection may be used (A) to pay the costs incurred by the entity or individual in the delivery of health services (as defined in regulations by the Secretary of Health, Education and Welfare), or (B) for the cost of construction or modernization of medical health care facilities. No single grant or contract made or entered into under this Paragraph shall be available for obligation beyond the one (1) year period beginning on the date the grant or contract was made or entered into. If an individual or entity receives a grant or contract under this Paragraph for a project or program, such individual or entity may receive only one (1) more such grant or contract for such project or program.

(g) The Division shall coordinate its activities with all entities in Guam involved in general or special purpose planning, development or regulation which may have impact upon the health delivery systems of Guam. The division shall, as appropriate, secure data from them for use in the Division's planning and development activities, enter into agreements with them which will assure that actions taken by such entities which alter Guam's health system will be taken in a manner which is consistent with the Guam Health Plan and the AIP in effect and, to the extent practicable, provide technical assistance to such entities.

- (h) The Division with the concurrence of the Director of DISID shall review and approve or disapprove each proposed use within Guam of Federal funds:
  - (1) appropriated under the Public Health Service Act, the Community Mental Health Centers Act or the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970, as it may be from time to time amended or any future provision taking its place, or any other Acts not excluded—included under this Section, for grants, contracts, loans or loan guarantees for the development, expansion or support of health resources; or
  - (2) made available from an allotment to Guam under the Acts referred to in clause (1) for grants or contracts for the development, expansion or support of health resources.

The Division shall not review and approve or disapprove the proposed use of Federal funds appropriated for grants or contracts under Title IV, VII or VIII of the Public Health Service Act as it may be from time to time amended or any future provision taking its place unless the grants or contracts are to be made, entered into or used to support the development of health resources intended for use in Guam or the delivery of health services.

- (i) The Division shall require by regulation that providers of health care doing business in Guam shall make statistical and other reports of such information prescribed as necessary by the division in such form and manner as the division may prescribe on a regular basis, which shall include but not be limited to:
  - (1) periodic reports from holders of Certificate of Need respecting development of the proposal for which Certificates have been issued;
  - (2) master plan feasibility studies and other long range plans and studies;
    - (3) financial information;
    - (4) utilization information;
    - (5) manpower inventory.
- (j) The Division shall develop a Guam Health Plan which is responsive to the statewide health needs and which describes the institutional health services and other health services including mental health, alcohol and drug abuse services. It should additionally insure that the plan describes the health resources required and the health

facilities in need of modernization, conversion and/or closure and which are required to meet the goals of the Guam Health Plan.

Section 41403. Guam Health Coordinating Council. There is established the Guam Health Coordinating Council (hereinafter called the 'GHC Council') in accordance with 1524, U.S. P.L. No.93-641, and amended by U.S. P.L. No. 96-79 and P.L. No. 96-538.

The Council shall be an advisory body and shall have no fewer than sixteen (16) representatives who shall be appointed by the Governor from lists of nominees submitted by the Division with concurrence from the Director of DISID. The Director of DISID or his designee shall be an ex-officio member of the Guam Health Council.

The Governor may select the Chairperson of the Council with the advice and consent of the Legislature. If the Governor does not select a Chairperson within forty-five (45) days of the existence of such a vacancy, the Council shall elect a Chairperson from among its current membership.

The Council shall establish, with the assistance of the Division, policies, procedures and methods which shall be used in carrying out Council responsibilities and duties.

The Council shall serve as an advisory body to the Division.

# Section 41404. Duties and Responsibilities. Council shall:

(1) Prepare, review and revise as necessary (but at least triennially), the Guam Health Plan. The plan shall be developed in accordance with the requirements and intent of U.S. P.L. No. 93-941, as amended by U.S. P.L. No. 96-79 and P.L. No. 96-538, and

appropriate regulations, and the expectations of the Regional Health Administrator, U.S. Public Health Service, San Francisco. The plan must be responsive to Guam-wide health needs and must describe institutional health services and other health services including mental health, alcohol and drug abuse services. It must describe the health resources required and the health facilities in need of modernization, conversion and/or closure and which are required to meet the goals of such plan.

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- Review applications submitted for grants under the U.S. Public Health Services Act, the Community Mental Health Centers Act, the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 and Section 409 of the Drug Abuse Office and Treatment Act of 1972 for projects which will affect more than one (1) health service area. The Council shall conduct such reviews under a prescribed set of established criteria and procedures and submit its recommendations for approval or disapproval of the proposed use of federal funds for each application.
- (3) Review annually and recommend approval or disapproval of all Guam plans, and any revision of a Guam plan or any application, and any application submitted to the Secretary of Health and Human Services as a condition to the receipt of federal funds under allotments made to Guam under the Public Health Services Act, the Community Mental Health Centers Act, the Comprehensive Alcohol Abuse and Alcoholism Prevention,

| 1  | Treatment and Rehabilitation Act of 1970, or §409 or §410 of the |
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| 2  | Drug Abuse Office and Treatment Act of 1972, or they may be      |
| 3  | from time to time amended or any future provision taking their   |
| 4  | place.   |
| 5  | (4) Advise the Division generally on the performance of          |
| 6  | its functions.   |
| 7  | (5) The Council shall review and comment on the                  |
| 8  | following before such actions are made final by the Division:    |
| 9  | (a) the establishment, annual review and                         |
| 10 | amendment of the Annual Implementation Plan ('AIP');             |
| 11 | (b) the development and publication of specific                  |
| 12 | plans and programs for achieving the objectives established      |
| 13 | in the AIP;  |
| 14 | (c) the making of grants and contracts from the Area             |
| 15 | Health Services Development Fund;                                |
| 16 | (d) the making of findings as to the need for                    |
| 17 | institutional health services proposed to be offered or          |
| 18 | changed in Guam;   |
| 19 | (e) the making of findings as to the appropriateness             |
| 20 | of existing institutional health services being offered in       |
| 21 | Guam;  |
| 22 | (f) the approval or disapproval of each proposed                 |
| 23 | use of Federal funds within Guam from allotment programs.        |
| 24 | (6) Review and make recommendations to the Division on           |
| 25 | all Certificate of Need applications.                            |

| (7) Notwithstanding the provisions outlined in this                 |
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| Section, the council shall incorporate plans pertinent to the       |
| Department of Integrated Services for Individuals with Disabilities |
| requirements, to include Island-wide data, needs assessment and     |
| demographic for persons with disabilities."                         |

Section 4. A new Article 5 is hereby added to Chapter 41, as added by Public Law No. 24-16, of Title 17 of the Guam Code Annotated to read as follows:

"ARTICLE 5.

#### DIVISION OF EVALUATION,

#### ENFORCEMENT AND COMPLIANCE.

Section 41501. Definitions. (a) Administrator means the Administrator of Division of Evaluation, Enforcement and Compliance.

The Administrator must have at least a bachelors degree and at least four (4) years of community experience in community services dealing with persons with disabilities, of which two (2) years shall be administrative. The position shall be of the classified service of the government of Guam. The position Classification Standard and Compensation shall be established by the Civil Service Commission.

Section 41502. Division. There is within the 'Department of Integrated Services for Individuals with Disabilities ('DISID'),' entitled 'Division of Evaluation, Enforcement and Compliance' and shall be responsible for the enforcement of the Americans with Disabilities Act ('ADA') provisions, which prohibits discrimination based on a disability in employment, public accommodation, and the provision of local

government services. The Evaluation, Enforcement and Compliance Division shall monitor compliance of accessible parking for individuals with disabilities and ensure community awareness regarding parking issues for individuals with disabilities. The Evaluation, Enforcement and Compliance Division shall include an evaluation program responsible for program compliance reviews and to determine other compliance activities required by government wide guidelines, as well as the rules of many Federal funding agencies. As part of the Evaluation, Enforcement and Compliance Division's procedures, the government-wide guidelines shall apply to both public and private sectors.

The Evaluation, Enforcement and Compliance Division shall conduct directed compliance reviews. A directed compliance review may be initiated in response to a complaint, or several complaints, alleging discrimination. The Evaluation, Enforcement and Compliance Division shall conduct compliance review, expanding the scope of the initial complaint investigating to determine overall compliance with federal and local laws affecting persons with disabilities. The Evaluation, Enforcement and Compliance Division shall take administrative sanctions and court action for consequences of failing to comply to Federal and local laws affecting persons with disabilities.

Section 41503. Funding. The Evaluation, Enforcement and Compliance Division shall utilize the funds deposited into the Accessible Parking Fund for the purpose of ensuring community

education and awareness regarding compliance of accessible parking for individual with disabilities."

Section 5. Division Budget. The Director of DISID shall prepare for the Division of Evaluation, Enforcement and Compliance a budget which include personnel, supplies, and capital expenditures for the Governor's approval within thirty (30) days from the passage of this Act. The Governor may use his transfer authority for the purpose of funding this Division.

Section 6. Transfer. All resources including but not limited to, the budget and staff (classified and unclassified) of the Guam Health Planning and Development Agency are hereby transferred to the newly created Division of Health Planning and Development of the Department of Integrated Services for Individuals with Disabilities (DISID). This resulting transfer of the staff shall not change their employees classification. This resulting transfer of the staff shall not change their employees classification. The Guam Health Coordinating Council members established pursuant to §5104 of Title 5 of the Guam Code Annotated are hereby transferred to the Guam Health Coordinating Council of the newly established Department of Integrated Services for Individuals with Disabilities ('DISID').

Section 7. A new Item (c) is hereby added to §41102 of Article 1, Chapter 41 of Title 17 of the Guam Code Annotated to read as follows:

"(c) Deputy Directors means the Deputy Directors of the Department of Integrated Services for Individuals with Disabilities ('DISID'). The two (2) Deputy Directors shall be appointed by the Governor and shall serve in the unclassified service. The Director of

- DISID shall assigned a Deputy director to the Operations of DISID and a
- 2 Deputy director to Administration of DISID."
- 3 Section 8. Interim Director of DISID. The Director of the Department
- 4 of Vocational Rehabilitation shall be the new Director of the Department of
- 5 Integrated Services for Individuals with Disabilities, until such time that the
- 6 Governor appoints and the Guam Legislature confirms the appointment
- 7 pursuant to §41102(b) of Article 1, Chapter 41 of Title 17 of the Guam Code
- 8 Annotated. Compensation shall not be more than the established
- 9 classification and pay schedule for the Administrator of the Guam Health
- 10 Planning and Development Agency.
- Section 9. Certificate of Need Transactions Pending. The Director of
- 12 the Department of Integrated Services for Individuals with Disabilities is
- 13 hereby authorized to approve or disapprove all pending Certificate of Need
- 14 transactions of the Guam Health Planning and Development Agency prior to
- 15 the date of enactment.
- Section 10. Repeal. Articles 1, 2 and 3 of Chapter 5 of Title 10 of the
- 17 Guam Code annotated are hereby repealed.
- 18 Section 11. Effective Date. The provisions contained in this Act shall
- 19 take effect upon enactment.
- Section 12. Severability. If any of the provisions of this Act or the
- 21 application thereof to any person or circumstance are held invalid, such
- 22 invalidity shall not affect any other provision or application of this Act, which
- 23 can be given effect without the invalid provision or application, and to this
- 24 end the provisions of this Act are severable.